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Ajay Kumar, Kumar Abhishek, Amit Kumar Singh, Pranav Nerurkar, Madhav Chandane, et al.. Multilabel classification of remote sensed satellite imagery. Transactions on emerging telecommunications technologies, 2021, 32 (7), pp.e3988. 10.1002/ett.3988. hal-02749819

# HAL Id: hal-02749819 https://imt-atlantique.hal.science/hal-02749819v1

Submitted on 30 Nov 2020

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#### PREPRINT TO TRANSACTIONS ON EMERGING TELECOMMUNICATIONS TECHNOLOGIES

# Multi-label Classification of Remote Sensed Satellite Imagery<sup>†</sup>

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#### Abstract

Multi-label scene classification has emerged as a critical research area in the domain of remote sensing. Contemporary classification models primarily emphasis on a single object or multi-object scene classification of satellite remote sensed images. These classification models rely on feature engineering from images, deep learning, or transfer learning. Comparatively, multi-label scene classification of V.H.R. images is a fairly unexplored domain of research. Models trained for single label scene classification are unsuitable for the application of recognizing multiple objects in a single remotely sensed V.H.R. satellite image. To overcome this research gap, the current inquiry proposes to fine-tune the state of the art Convolutional Neural Network (C.N.N.) architectures for multi-label scene classification. The proposed approach pre trains C.N.N on the ImageNet dataset and further fine-tunes them to the task of detecting multiple objects in V.H.R. images. To understand the efficacy of this approach, the final models are applied on a V.H.R. dataset: the U.C.M.E.R.C.E.D. image dataset containing twenty-one different terrestrial land use categories with a sub-meter resolution. The performance of the final models is compared with Graph convolutional network-based model by N Khan et al.. From the results on performance metrics, it was observed that proposed models achieve comparable results in significantly fewer epochs.

#### **KEYWORDS:**

Remote sensing; Converged and future applications; Aerial imagery; Satellite image processing; Deep learning

#### 1 | INTRODUCTION

Land Use (L.U.) classification from remote sensed terrestrial imagery obtained using satellites is critical for monitoring and managing human-made activities <sup>1,2</sup>. This is not possible for processing using manual methods due to the voluminous amount of data that is generated from satellite images <sup>3</sup>. Besides, automated classification via machine learning is critical for understanding the constantly changing the surface of the earth, especially anthropomorphic changes <sup>4,5</sup>. However, the classification of terrestrial imagery is a momentous challenge because the terrain that symbolizes a given type of land use may have variability, and a few identical objects are shared among different terrain categories <sup>6</sup>. Figure 1 gives the 5 sample images present in each of the 21

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<sup>†</sup>Remote sensing with deep learning.

<sup>&</sup>lt;sup>0</sup>Abbreviations: V.H.R., Very high resolution; U.C., University of California; BoVW, Bag of visual words

<sup>&</sup>lt;sup>0</sup>Authorship: All authors contributed equally towards the design of the work, data analysis and interpretation, drafting, and critical revision of the article. A. Kumar and P. Nerurkar should be considered joint first authors

classes of U.C.M.E.R.C.E.D. land-use dataset<sup>7</sup>. Each of the five sample images belong to a particular category yet have large variability in appearance.

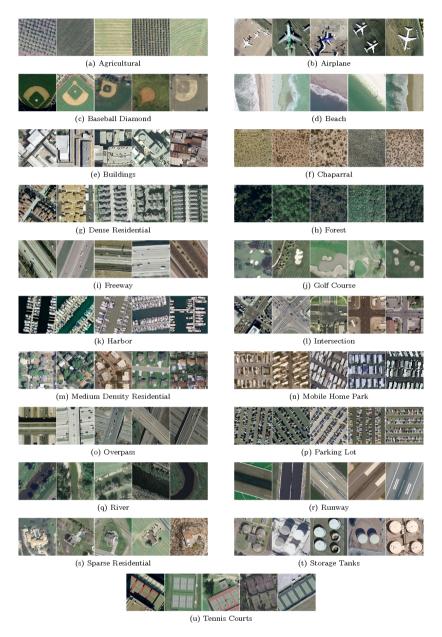


FIGURE 1 U.C.M.E.R.C.E.D. land use dataset contains 100 images from 21 land-use classes

Due to the high variability in the composition of the terrain and the voluminous amount of terrain categories, optimal identification with labeling for terrain types in V.H.R. Data have become challenging to solve using machine learning methods <sup>8,9,10</sup>. This challenge has ignited interest in the domain of remote sensing <sup>11,6</sup>. Additionally, terrestrial images obtained from satellites or aerial objects of land use contain several objects within the same image. In Figure 2 a, the label associated with the image is *Airport*, Figure 2 b belongs to label *Baseballdiamond* and Figure 2 c belongs to label *Mediumresidential*. Each image has multiple objects present within it, as indicated in the corresponding caption.

To effectively and efficiently label terrain types from satellite images, the literature proposes several techniques. State of the art techniques focus on deep neural architectures, which learn tiered inner feature embeddings from visuals datasets and create near-human performance. Nevertheless, a majority of approaches, counting even the conservative vanilla neural network







(b) bare soil, building, grass, pavement



(c) cars, payement, grass, trees, bare soil, building

FIGURE 2 U.C.M.E.R.C.E.D. dataset images consisting of multiple objects

methods and deep neural networks, solitarily focus on fitting a model to recognize a single label within an image <sup>12</sup>. Multi-label classification of very high resolution (V.H.R.) images is still to receive sufficient attention in the scientific literature <sup>13</sup>.

Analyzing the timeline of the automated labeling of terrain in V.H.R. reveals a broad spectrum of techniques. Traditional image feature extraction (F.E.) and image processing techniques used handcrafted FE<sup>4</sup> that relied on kernels to extract features. The mainstream conventional frameworks, such as the bag-of-visual words (BoVW) centered terrain extract cataloging technique, rely on heuristics that measure features such as color histogram, local binary pattern, and scale-invariant feature transform (S.I.F.T.) among others in a visual and allot a semantic identifier to the visual according to its essence <sup>12</sup>. Although F Zhang *et al.* argue that the BoVW approach achieves the state of the art efficiency, its ability to tackle complex terrestrial images of land use is constrained as it fails to encompass spatial and structural information in the V.H.R. image <sup>11,6</sup>. Though FE and BoVW methods have achieved excellent performance, despite relying on low-level image features obtained through kernels. The inadequate expressive capability of these primitive and intermediate level hand-engineered features has become a bottleneck for improving their LU scene classification performance <sup>14</sup>. The main drawback of F.E. and BoVW based techniques lies in the assumption that a general feature descriptor can adequately represent the complex image structures by employing expert knowledge through manually designed all-around purpose features <sup>13</sup>.

The maximum contemporary effort in visual classification has been concentrated on Deep-learning (D.L.) algorithms that employ unsupervised learning of adequate and discriminative feature representations from unlabelled input data  $^{11}$  as shown in Figure 3 .

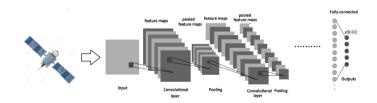


FIGURE 3 Deep learning models for satellite image processing

Deep-learning (D.L.) algorithms, which learn complex and nonlinear representative and discriminative features in a tiered manner from the inputs, are an emerging field in the artificial intelligence community and have entered into the satellite image processing community for R.S. unstructured data analysis <sup>15,16,17</sup>. This new field of investigation opened by deep learning has led to mushrooming of literature and frameworks <sup>4,12</sup>. As D.L. algorithms are computationally expensive and time-consuming to train, transfer learning approach was utilized to overcome these issues. In transfer learning, knowledge learned from training the model on a particular dataset is transferred to a different problem. This approach enables the training of D.L. models on tasks where data is limited.

The critical contribution of the current paper is proposing a deep learning-based convolutional neural network model for the multi-label scene classification of V.H.R. images. To overcome the issue of model overfitting due to limited data availability, fine-tuning is used. To elaborate on fine-tuning, initially, the C.N.N. models are pre-trained on the ImageNet dataset, and additional layers are added to the models. The additional layers are trained on the dataset for the task of recognizing multiple objects in images. In spite of extensive literature review, multi-label classification of U.C.M.E.R.C.E.D. Land Use V.H.R. Images using pre-trained D.L. models was not found. Hence, the contribution extends the research in this domain. For the experimental study, 16 selected deep learning architectures pre-trained on the ImageNet dataset were fine-tuned and applied on the multi-label classification of U.C.M.E.R.C.E.D. L.U. Dataset on standard parameters, a discussion is presented along-with the conclusion and future course of action.

The contents in the current research are grouped as follows. In Section 2, a brief review of the related works about feature engineering based classifiers, unsupervised feature engineering based classifiers, and transfer learning-based classifiers are introduced. In Section 3, the pre-trained D.L. models are described in detail. The details of the experiments and the results are presented in Section 4. Eventually, Section 6 draws the outcome of this paper with an elaboration of the results and guidelines for future work.

#### 2 | REVIEW OF LITERATURE

The current endeavors in the literature can be grouped into three categories: techniques based on handcrafted features, techniques based on data-driven features, and techniques based on transfer learning (see Figure 4). The review describes the methodologies used by techniques in each category. It is concluded from the literature, that multi-label classification on V.H.R. images has not received sufficient attention.

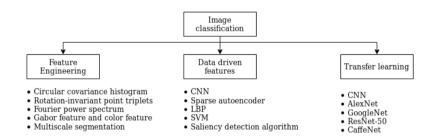


FIGURE 4 Categories of machine learning models for land use classification

#### 2.1 | Feature engineering-based classifiers

E Aptoula <sup>18</sup> focused on Content-based image retrieval (C.B.I.R.) using global image descriptors. The author speculated that global image descriptors are more efficacious in C.B.I.R. compared to local descriptors. Results of applying global morphological texture descriptors (circular covariance histogram (C.C.H.), rotation-invariant point triplets (R.I.T.), and descriptors based on Fourier power spectrum of the quasi-flat-zone-based scale space (F.P.S.)) on U.C.M.E.R.C.E.D. land-use (U.M.L.U.) dataset are presented. The author has concluded through experimentation that features of the image extracted using C.C.H., R.I.T. and F.P.S. is more suitable for C.B.I.R. compared to local descriptors on the U.M.L.U. dataset <sup>7</sup>. L Gueguen <sup>19</sup> proposed a compound structure representation for image classification. It utilized local features descriptors extracted from the multiscale segmentation of the original image. The extracted features are clustered into visual words with the KD-Tree algorithm. Distributions of the visual words are used to elaborate on the image compound structures. N He <sup>20</sup> proposed a framework to combine two low-level visual features viz. Gabor feature and color feature for scene classification. S Kumar *et al.* <sup>21</sup> proposed a parallel architecture for extraction of morphological features such as circular covariance histogram (C.C.H.), rotation-invariant point triplets (R.I.T.).

### 2.2 | Unsupervised feature engineering based classifiers

J Fan *et al.* <sup>22</sup> argued that handcrafted feature descriptors (local and global) are less suitable for image recognition compared to features learned from the image data in a data-driven manner. The authors have preferred an unsupervised learning approach for learning feature descriptors from images using multi-path sparse coding architecture. F Luus *et al.* <sup>23</sup> use a deep convolutional layer neural network (D.C.N.N.) for image classification. The authors prefer to avoid handcrafted features relying instead on D.C.N.N. for feature determination. R Stivaktakis *et al.* <sup>24</sup> proposed a multi-label image classification architecture using D.C.N.N. To avoid model overfitting, data augmentation techniques such as rotation of the visual by various degrees, image re-scaling, horizontal and vertical flips, translations to the x and y-axis, and the incorporation of noise were used. H Wu *et al.* <sup>14</sup> proposed a hybrid architecture called deep filter banks. It combined multicolumn stacked denoising sparse autoencoder (S.D.S.A.E.) and Fisher vector (F.V.) to consequently infer the complex nonlinear features in a hierarchical manner for L.U. Scene classification in the U.M.L.U. Dataset. F Zhang *et al.* <sup>11</sup> proposed an unsupervised feature learning framework using the saliency detection algorithm to extract an illustrative set of areas from the significant regions in the U.M.L.U. Image dataset. These representative patches were given as input to a sparse auto-encoder to convert these image patches into low-dimension feature vectors. The training of the S.V.M. was performed using these feature vectors for image classification.

F Zhang *et al.* <sup>12</sup> proposed a gradient boosting random convolutional network (G.B.R.C.N.) framework for scene classification. It was an ensemble framework consisting of multiple single deep neural networks. J Bergado *et al.* <sup>25</sup> proposed a multi-resolution convolutional network, called FuseNet, and its recurrent version, called ReuseNet, to perform image fusion, classification, and map regularization of a multi-resolution V.H.R. image in an end-to-end fashion. Z Gong *et al.* <sup>26</sup> introduces structured metric learning, a strategy that modifies the binary cross-entropy loss metric to allow it to discriminate the remote sensing image scenes with the great similarity. The new metric is incorporated into a D.C.N.N. model for image classification in U.M.L.U. Dataset. C Cao *et al.* <sup>27</sup> concentrated on the estimation of eight transferred C.N.N.-based models on land-use classification tasks and submission of the optimal performing transferred C.N.N.-based model as a discriminator to classify and plot the land-use.

R Minetto *et al.* <sup>28</sup> proposed Hydra, an ensemble of convolutional neural networks (C.N.N.) for geospatial land classification. The ensemble of C.N.N. is created from ResNet and DenseNet architectures pre-trained on the ImageNet dataset. Additional layers of ResNet and DenseNet architectures are added to create an end-to-end deep learning pipeline for image classification. H Parmar<sup>29</sup> proposed a multi-neighborhood LBPs combined with the nearest neighbor classifier that can achieve an accuracy of 77.76% for image classification on U.M.L.U. Dataset. In<sup>30</sup>, K Karalas *et al.* utilize a C.N.N. to categorize the dissimilar types of terrestrial covers by allocating one or more labels to perceived spectral vectors of the multi-spectral image pixels.

#### 2.3 | Transfer learning approach for classification

D Marmanis et al. 13 extended the use of D.C.N.N. in image classification by utilizing a DCNN pre-trained on ImageNet dataset instead of training a DCNN from scratch. The authors argued that the large pre-trained deep convolutional neural network (D.C.N.N.) generated a set of high-level representations, which could be used for image classification in the next processing stage. G Scott et al. 4 preferred features learned by D.C.N.N. from the training data than handcrafted features. The authors experimented with three architecture viz. CaffeNet, GoogLeNet, and ResNet50 for image classification on U.M.L.U. dataset. To improve the model performance, transfer learning and data augmentation were used. Q Weng et al. 6 used pre-trained C.N.N. to learn deep and robust features from the images of the U.M.L.U. Dataset. The authors modified the CNN architecture by replacing the fullyconnected layers of the C.N.N. by the extreme learning machine classifier. Y Zhen et al. 31 also argued in favor of pre-trained deep neural networks for image classification. The authors modified the standard GoogLeNet with a structure called 'Inception'. The modified network reduced the parameters and trained faster compared to the original network. E Flores et al. 32 used a ResNet-50 DCNN architecture pre-trained on the ImageNet dataset for feature extraction from images. The learned parameters of the ResNet-50 were used to extract 2048 bit deep feature vectors of each input image. These feature vectors were then used to classify the image. N Uba<sup>33</sup> utilized architectures of AlexNet, CaffeNet, and GoogleNet on ImageNet dataset and transferred the leanings of the model from the ImageNet dataset to the U.M.L.U. Dataset for image classification. M Castelluccio et al. 34 speculated that training CaffeNet and GoogLeNet from scratch would not be advisable for the limited-sized U.C.M.E.R.C.E.D. land-use (U.M.L.U.) dataset. The authors observed that careful fine-tuning of the CaffeNet and GoogLeNet architectures pretrained on the ImageNet dataset, involving several layers of the architecture, provided good results, in general. J Li et al. 35 utilized a class activation map (C.A.M.) encoded C.N.N. model trained using original R.G.B. patches of ImageNet dataset and attention map based class information. The parameters of the architecture are then used for classification on the (U.M.L.U.) dataset.

Multi-label classification of U.M.L.U. Dataset is considerably more instinctive in remote sensing tasks. Considering the lack of research on pre-trained D.L. architectures in this domain, the scope of this work was defined. D.L. architectures were selected based on their accuracy (Top-1 and Top-5) on ImageNet<sup>35</sup>. Results obtained by these D.L. models were on a fixed experimental environment and hyper-parameters. Optimization of hyper-parameters or selecting the best D.L. model for the task is beyond the scope of this work.

#### 3 | DEEP LEARNING FRAMEWORKS

- V.G.G. proposed by K Simonyan *et al.* is a 3x3 stacked convolutional layer. It uses Max Pooling and Fully connected Networks, followed by Softmax classifier. It can be both 16 and 19 layered <sup>36</sup>.
- ResNet (or Residual Network) was first introduced by He *et al.* to solve the problem of gradient decay in deep neural network architectures through "shortcuts" in the network. These shortcuts are additive identity transformations of the previous nodes. It relies on micro-architecture modules referred to as "building blocks" <sup>37</sup>.
- C Szegedy et al. proposed InceptionNet which acts as a "multi-level feature extractor". It computes 1x1, 3x3 and 5x5 convolutions inside the same module of the network. It returns a stacked output along the channel dimension. Its weights are mere 96 MB, much smaller than VGG and ResNet<sup>38</sup>.
- Proposed by F Chollet, Xception is implemented in 2 steps, Spacial Dimension Transformation and Channel Dimension Transformation. In InceptionV3, a 1x1 convolution is followed by a 3x3 convolution allowing channels to merge first. While in Xception, the 3x3 space convolution takes place before the 1x1 channel convolution. It also has no Re.L.U. operation present in the architecture<sup>39</sup>.
- MobileNet is an application of Xception. When Xception attempts to improve accuracy, MobileNet is employed for both
  compressing the model and ensuring accuracy. A standard convolution is decomposed into depthwise and pointwise
  convolutions to reduce space <sup>40</sup>.
- DenseNet architecture attempts at improving Resnet architecture through gradient flow, improved parameter efficiency, and low complexity features. It achieves these by connecting each layer to every other layer in a feed-forward fashion. Hence, instead of L layers having L connections, DenseNet has L(L+1)/2 connections 41.

**TABLE 1** Models for visual classification mentioned with parameters trained on ImageNet <sup>39</sup>

Name	Size in MB	<b>Top-1 Accuracy</b>	<b>Top-5 Accuracy</b>	Parameters	Depth
Xception	88	0.79	0.95	22,910,480	126
VGG16	528	0.71	0.90	138,357,544	23
VGG19	549	0.71	0.90	143,667,240	26
ResNet50	98	0.75	0.92	25,636,712	-
ResNet101	171	0.76	0.93	44,707,176	-
ResNet152	232	0.77	0.93	60,419,944	-
ResNet50V2	98	0.76	0.93	25,613,800	-
ResNet101V2	171	0.77	0.94	44,675,560	-
InceptionV3	92	0.78	0.94	23,851,784	159
InceptionResNet	215	0.80	0.95	55,873,736	572
MobileNet	16	0.70	0.89	4,253,864	88
MobileNetV2	14	0.71	0.90	3,538,984	88
DenseNet121	33	0.75	0.92	8,062,504	121
DenseNet169	57	0.76	0.93	14,307,880	169
DenseNet201	80	0.77	0.94	20,242,984	201

Table 1, shows results obtained by D.L. architectures on ImageNet. Top-1 and top-5 accuracy of the model are recorded based on its performance on the ImageNet validation dataset. Depth includes activation layers and batch normalization layers.

#### 4 | EXPERIMENTAL STUDY

Configuration of the system on which an experimental study was conducted had Windows7 OS with Intel(R) Core(T.M.) i5-6402P CPU@2.8GHz with quad cores and 8GB DDR3 RAM. Implementations of the pre-trained D.L. models and default settings used were in Keras package using python<sup>39</sup>.

Description	Value			
Pretrained D.L. Model	Non trainable and default			
Additional layers appended	GlobalAveragePooling2D			
Additional layers appended	Dropout and Dense			
Dropout	0.4			
probability	0.4			
Optimizer	RMSProp			
Metric	Accuracy			
Loss	Binary cross entropy			

**TABLE 2** Hyper-parameters of the models

Performance measures used for quantitative analysis are  $F_1$ -score (Macro and samples), Precision (Macro and samples), Recall (Macro and samples), Number of parameters (Trainable, Non-Trainable and Total) and Threshold. The hyper-parameters of the models mentioned in Table 2 were fixed in order to reduce the degree of freedom in comparison.

#### 4.1 | Dataset

U.C.M.E.R.C.E.D. Land Use dataset <sup>7</sup> is a manually labeled ground truth dataset which consists of visuals of 21 terrain classes chosen from aerial orthoimagery with a sub-meter resolution. Each image is in the R.G.B. colorspace fetched from United States Geological Survey (U.S.G.S.) National Map. One hundred visuals measuring 256ÃŮ256 pixels are present for each of the 21 labels given in Table 3 (of Figure 1). Each image of the 21 classes contains multiple objects within it, as given in Table 3.

#### 5 | RESULTS AND DISCUSSION

#### 5.1 | Analysis of precision and recall (macro and samples)

The results for the classification were analyzed using  $F_1$ -score, Precision, and Recall. For the same, a confusion matrix is first made to get an overview of the results. A confusion matrix describes the performance of the classification model on the test data in a tabular form. Hence a summary of the prediction scores is obtained. The count of correct and incorrect predictions by each class is given, hence conveying the ways in which the classification model is confused while predicting.

Precision gives the percentage of positive results predicted correctly out of all the predictions made. Thus, a high precision value indicates that the positively predicted label is indeed positive. It can be thought of as the ability of a classification model to identify only the relevant data points.

$$Precision = \frac{T.P.}{T.P. + F.P.}$$

This is a class level metric. To get an overall Precision or Macro Precision, we average out the class Precision.

$$Macro-Precision = \frac{Precision1 + Precision2}{2}$$

**TABLE 3** The terrain types and their associated objects 42

Terrain type	Objects		
agricultural	field, trees		
airport/airplane	airplane, pavement, grass, building, car		
baseball diamond	bare soil, pavement, grass, tree, building		
beach	sea, sand, tree		
urban area/buildings	buildings, pavement, cars		
chaparral	sand, chaparral		
dense residential	buildings, pavement, trees, cars		
forest	trees, bare soil		
freeway	pavement, cars, grass, trees, bare soil		
golf course	grass, trees, bare soil		
harbor	ship, dock, water		
intersection	pavement, bare soil, cars, building, grass		
medium residential	buildings, cars, trees, grass, pavement, bare soil		
mobile home park	mobile home, cars, pavement, trees, bare soil		
overpass	pavement, cars, bare, soil, grass, trees		
parking lot	cars, pavement, bare soil, grass		
river	water, trees, bare soil		
runway area/runway	pavement, grass, bare soil		
sparse residential	buildings, grass, bare soil, tress, sand, chaparral		
storage tanks	tanks, bare soil, grass, pavement, buildings		
tennis area/tennis court	court, grass, trees, pavement, buildings		

 ${\bf Comparison\ of\ pre-trained\ deep\ neural\ networks\ on\ parameter\ "Precision\ Macro"}$ 

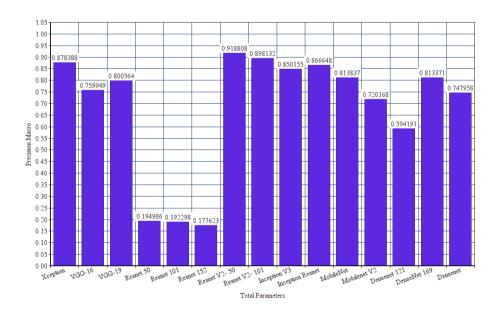


FIGURE 5 Comparison between pretrained D.L. multi-label classifiers on Precision (Macro)

As Figure 5 suggests, Precision for ResNetV2- 50 was the highest at 0.918808 second only to ResNetV2- 101 by 2.25%. What is intriguing is that the least Precision is from the earlier versions of ResNet-ResNet50, ResNet101, and ResNet152 by 78.79%, 79.07%, and 80.67%. To understand the stark difference between the ResNets, we need to understand its origins <sup>37</sup>. ResNet was not the first to use the shortcut connection to overcome the gradient decay issue. Highway Networks had gated shortcut connections that controlled information flow across the shortcut. Hence ResNet, in many ways, is a special case of Highway Network. Strangely enough, while ResNet can be thought of as a subset of Highway Network, they tend to outperform them. Thus, the need to keep the gradient highways clear and restrain from going for a larger solution space is necessary. Hence the original ResNet was refined, and a pre-activation variant was proposed called ResNetV2. Gradients flow more freely through the shortcut connections. Hence, ResNet50V2 has 78.9% higher precision than ResNet50 in Figure 5. Both ResNet50V2 and ResNet101V2 outperform VGG16 and VGG19 by 15.8% on an average. This could be attributed to deeper layers and a lack of gradient decay in ResnetV2.

InceptionV3 has a multi-modal architecture for predicting both globals through its 5x5 convolution layer and more salient features through its 1x1 convolution layer. It outperforms VGG16 and VGG19 by 10.61% and 5.83%, respectively. However, it could be a victim of gradient decay. Hence InceptionResnetV2, which is the combination of ResNet and InceptionV3, outperforms it by 1.9%. However, it is not better in predicting the True Positives from the positive predictions when compared to ResNet50V2 and ResNet101V2. It is less by 5.67% and 3.5%.

Xception is the modified Depthwise Separable Convolution. Its architecture is inspired by InceptionV3, with pointwise convolution taking place before depthwise convolution. It gives a better result than InceptionResnetV2 by 1.33%. However, ResNet101V2 still outperforms it by 4.4%. MobilenNet is lightweight in architecture and was developed on the lines of Xception. Its performance in terms of accuracy is not better than Xception. MobileNet has a Macro Precision of 0.813837, which is 7.34% less than Xception. In DenseNet121 and DenseNet169, has fully connected layers in a feed-forward way. Hence the number of layers is L(L+1)/2 compared to convolutional networks with L layers. It is an advanced version of ResNet. However, the accuracy of DenseNet121 is 35.33% lower, and that of DenseNet169 is 11.47% lower than the Macro Precision of ResNet50V2. Clearly, the depth of the layer is not an issue here. This can be attributed to the long training time and memory requirement of DenseNet121 and DenseNet169.

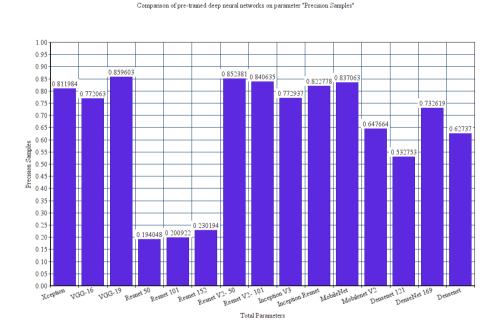
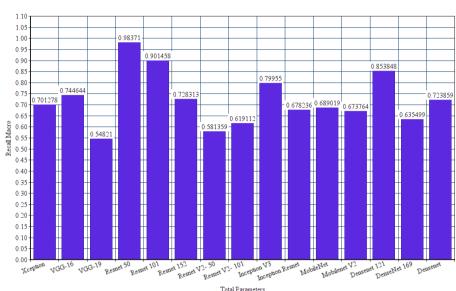


FIGURE 6 Comparison between pretrained D.L. multi-label classifiers on Precision (samples)

The Precision, Recall, and  $F_1$ -score samples calculate the metrics for each instance and finds their average in Figure 6.

From the figure, it is clear that the Macro Precision and Samples Precision are close to each other. For both the least values lie in ResNet50, ResNet101 and ResNet152. The highest Precision Samples are for VGG19, just 0.84% more than ResNet50V2, which is only 1.37% higher than ResNet101V2. MobileNet's Precision Sample is higher than it is Macro Precision quite significantly, by 2.77%. The Precision Sample is higher than that of MobileNetV2 by 22.6% and interestingly more than the Xception network as well by 2.99%. All DenseNet values are less than those of ResNetV2 and more than ResNet.



Comparison of pre-trained deep neural networks on parameter "Recall Macro"

FIGURE 7 Comparison between pretrained D.L. multi-label classifiers on Recall (Macro)

Recall gives the percentage of positive results predicted correctly from all the actual positive results. It is regarded as a model's ability to find all the data points of interest.

$$Recall = \frac{T.P.}{T.P. + F.N.}$$

The recall is also a class level metric. The overall Recall or the macro recall is:-

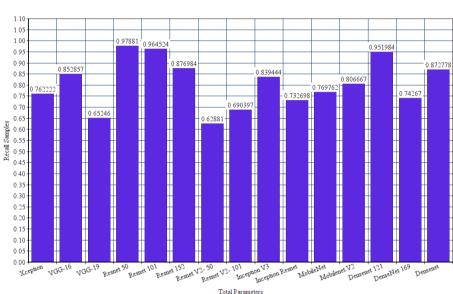
$$Macro-Recall = \frac{Recall1 + Recall2}{2}$$

There tends to be a trade-off between Precision and Recall. The two differ only by one term in the denominator. For a given accuracy, if FP is high, FN is lower, meaning a lower Precision and higher Recall and vice-versa.

As visible from the Figure 7 , ResNet50 and ResNet101, and ResNet152, which had the lowest Macro Precision at 0.194986, 0.192298, and 0.177623, have an improved Recall of 0.98371, 0.0.90145 and 0.728313 respectively. Hence, we can conclude that the False Positives for ResNet is higher than the False Negatives. ResNet50 and ResNet101 have values higher than VGG16 and VGG19 on an average by 31.42%. ResNet50V2 and ResNet101V2 have Recall Macro value as 0.581359 and 0.619112, lower than their ResNet counterparts by 40.9% and 31.32% respectively. The Macro-Precision value for them was 0.918808 and 0.898132, hence a reduction by 36.72% and 31.06%, respectively. Hence for a given accuracy of ResNetV2, it can be said that the FN is higher than the FP. Recall Macro for InceptionV3 is higher than InceptionResNetV2 along with both ResNet50V2 and ResNet101V2 by 15.17%, 28.29% and 22.56% respectively. This was not true for Macro Precision, and hence it can be concluded that FP for InceptionV3 is higher than FN when compared to the above three models. The same is less by 18.72% and 11.30%, from ResNet50 and ResNet101, hence indicating a lower FP and a higher FN. As mentioned previously, Xception and MobileNet have similar architectures and hence are comparable. Xception has a higher Macro Recall value from MobileNet

and MobileNetV2 by 3.28% and 3.92%. However, the Macro Recall for Xception, MobileNet, and MobileNetV2 is lower than their Macro Precision value by 20.16%, 16.66%, and 6.44% respectively. Hence the FN values for the same are higher than FP. For Macro Recall, the values seem to reduce as more layers are added. ResNet50 has higher Macro Recall than ResNet101 by 8.36%, which in turn has higher Macro Recall than ResNet152 by 19.2%. Similarly, for VGG16/19, Macro Recall reduces by 26.38%. This is against the popular belief that more layers tend to increase accuracy, especially when it comes to ResNet, where gradient decay is being prevented up to some extent by design.

Comparison of pre-trained deep neural networks on parameter "Recall Samples"



**FIGURE 8** Comparison between pretrained D.L. multi-label classifiers on Recall (samples)

The highest Recall Samples continues to be that of ResNet50 (see Figure 8) with value peaking at 0.97881, slightly higher than ResNet101 by 1.46%. ResNetV2 continues being the worst performer for Recall, with ResNet50V2 having a Recall Sample 35.75% lesser than that of ResNet50. Recall Samples for DenseNet has improved overall. DenseNet121 has increased by 10.3%, only 2.74% lesser than ResNet50 compared to being 13.19% lesser for Macro Recall. The metric for VGG16 has increased by 12.68%.

## **5.2** $\perp$ Analysis of Macro $F_1$ and $F_1$ samples

 $F_1$ -score is the Harmonic Mean of Precision and Recall.

$$F_1 = 2 * \frac{Precision * Recall}{Precision + Recall}$$

The harmonic mean punishes extreme precision and recall values, which arithmetic mean cannot.

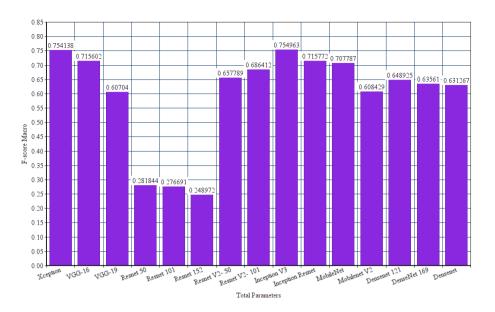
The Macro  $F_1$ -score is:-

$$Macro-F_1=\frac{F_11+F_12}{2}$$

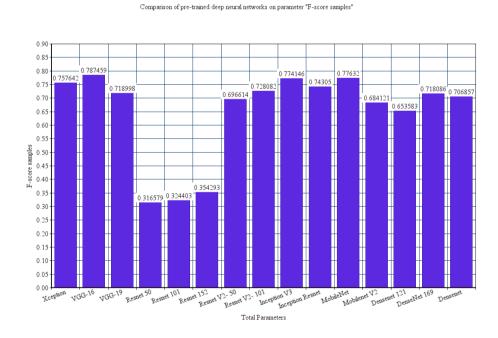
For our particular case, Precision and Recall carry equal weightage. Hence Taking a weighted harmonic mean is not in our interest.

The  $F_1$ -score is closer to Precision than Recall with the ResNet being the worst performing model at 0.281844, 0.276691 and 0.248972 (see Figure 9 and Figure 10 ). InceptionV3 and Xception have performed the best overall with 0.754963 and

Comparison of pre-trained deep neural networks on parameter "F-score Macro"



**FIGURE 9** Comparison between pretrained D.L. multi-label classifiers on  $F_1$ -score (Macro)



**FIGURE 10** Comparison between pretrained D.L. multi-label classifiers on  $F_1$ -score (samples)

 $0.754138\ F_1$  respectively. ResNet50V2 has a higher  $F_1$ -score than ResNet50 by 67.15% and ResNet101V2 has a higher  $F_1$ -score than ResNet101 by 59.7%. InceptionV3 outperforms InceptionResnetV2 by 5.19%. Hence a multi-modal architecture outweights the shortcut strategy of ResNets in this case. ResNetV2 has slightly a better  $F_1$ -score than DenseNet on an average by 1.11%. Hence layer depth is not too high to account for much decay. Xception architecture also performs better than every

other architecture except Inception V3. It outperforms MobileNet and MobileNetV2 by 6.14% and 19.32% respectively. This can be because of the lightweight architecture of the MobileNet. ResNet continues to be the worst performing network for  $F_1$ -score Samples. VGG-16 and VGG-19 have improved values by 9.12% and 15.57% respectively.

## 5.3 | Analysis of threshold

The optimum solutions were achieved for each model in the threshold values mentioned in the figure. The highest threshold is for VGG19 at 0.18, and the least is for ResNet101 and ResNet152 for 0.001. VGG16 has a threshold of 44.44% lesser at 0.1, the same as that of the Xception and ResNet101V2 network. ResNet50 has the second least threshold at 0.01, 10 times more than ResNet101 and ResNet152. ResNet50V2 has the second-highest threshold at 0.15. InceptionV3 has a threshold at half of the ResNet101V2 at 0.05, while InceptionResNetV2's threshold lies somewhere between the two at 0.08. MobileNet's 0.1 threshold is the same as Xception and higher than MobileNetV2. DenseNet121 and DenseNet169 have values of 0.04 and 0.1 respectively (see Figure 11).

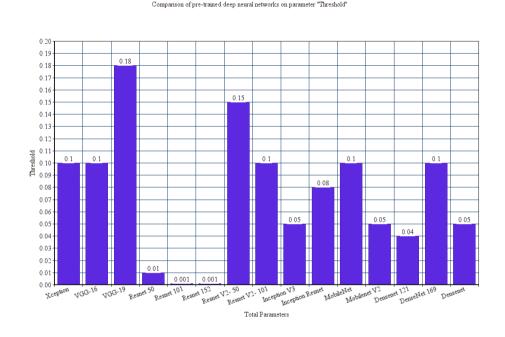


FIGURE 11 Comparison between pretrained D.L. multi-label classifiers on Threshold

#### 5.4 | Analysis of total parameters

The number of elements in the network is called the parameters. These parameters may or may not be adjusted during back-propagation. As the network becomes denser, the number of parameters increases. As is clear from the graph, ResNet has more parameters than ResNetV2 by 22912 parameters for 50 layers. Similarly, for 101 layers, the number of parameters exceeds by 31616. InceptionResnetV2 has 32525248 more parameters than InceptionV3. Xception has 17650024 more parameters than its counterpart MobileNet. Similarly, DenseNet121, even with more layers, has lesser parameters than ResNet101 by 35638080.

#### 5.5 | Analysis of non-trainable parameters

Non-trainable parameters are those whose weights are frozen and do not change in back-propagation. These can also be the result of batch normalization, where layers are frozen. ResNet152 has the highest number of non-trainable parameters, 6.91% more

than InceptionResnetV2 coming in second place. MobileNet, with its light features, has 84.52% lesser non-trainable parameters than Xception. DenseNet121 has 87.94% lesser non-trainable parameters than ResNet152.

#### 5.6 | Analysis of trainable parameters

Xception and all ResNet networks except InceptionResNetV2 have 34833 trainable parameters. InceptionResNetV2 has 24.98% lesser trainable parameters. VGG has the least with only 8721 such parameters. MobileNet has 49.97% lesser trainable parameters. DenseNet and DenseNet121 have 6.19% and 49.97% lesser parameters than the top 7 networks.

#### 5.7 | Summary of Results

Table 4 summarizes the results of the paper. Inception based architectures had optimal results in comparison with other models. The baseline model  $^{42}$  obtains Macro-precision: 0.76, Precision samples: 0.75, Macro-Recall: 0.69, Recall samples: 0.69, Macro- $F_1$ : 0.69,  $F_1$  samples: 0.72. In the literature, it is the only paper that analyses the U.C.M.E.R.C.E.D. dataset for multi-label classification. However, the graph convolutional network it uses requires 2,50,000 epochs for training. Comparatively, the models used in the current paper achieve similar accuracy utilizing ten epochs.

Model	Macro-Precision	Precision samples	Macro-Recall	Recall samples	Macro-F <sub>1</sub>	F <sub>1</sub> samples	Total parameters	Non-trainable	Trainable	Threshold
Xception	0.88	0.81	0.7	0.76	0.75	0.76	20896313	20861480	34833	0.1
VGG16	0.76	0.77	0.74	0.85	0.72	0.79	14723409	14714688	8721	0.1
VGG19	0.8	0.86	0.55	0.65	0.61	0.72	20033105	20024384	8721	0.18
ResNet50	0.19	0.19	0.98	0.98	0.28	0.31	23622545	23587712	34833	0.001
ResNet101	0.19	0.2	0.9	0.96	0.27	0.32	42693009	42658176	34833	0.001
ResNet152	0.18	0.23	0.73	0.88	0.25	0.35	58405777	58370944	34833	0.001
ResNet50V2	0.92	0.85	0.58	0.63	0.66	0.7	23599633	23564800	34833	0.15
ResNet101V2	0.9	0.84	0.62	0.69	0.69	0.73	42661393	42626560	34833	0.1
InceptionV3	0.85	0.77	0.75	0.84	0.75	0.77	21837617	21802784	34833	0.05
InceptionResNet	0.87	0.82	0.69	0.73	0.72	0.74	54362865	54336736	26129	0.08
MobileNet	0.81	0.84	0.69	0.77	0.71	0.78	3246289	3228864	17425	0.1
MobileNetV2	0.72	0.65	0.67	0.81	0.61	0.68	2279761	2257984	21777	0.05
DenseNet121	0.59	0.53	0.85	0.95	0.64	0.65	7054929	7037504	17425	0.04
DenseNet169	0.81	0.73	0.63	0.74	0.63	0.71	12671185	12642880	28305	0.1
DenseNet201	0.75	0.63	0.72	0.87	0.63	0.70	18354641	18321984	32657	0.05

**TABLE 4** Quantitative and qualitative results of models

#### 6 | CONCLUSION AND FUTURE WORKS

In distinction to the predominant single label terrain identification techniques, the current inquiry argues the usefulness of multi-label classifications for V.H.R. R.S. terrestrial visuals for the resolution of terrain classification. This challenging task is comparatively unexplored in literature. Hence, to overcome this research gap, 16 D.L. architectures were pre-trained on ImageNet and further fine-tuned using additional layers for the task of multi-label scene classification. The intuition behind this approach was that pre-trained models would minimize the need for large amounts of V.H.R. image data. Additionally, fine-tuning would improve performance on the multi-label classification taskâĂŤempirical analysis derived on the multi-label U.C.L.U. Image dataset was favorable to pursue this line of investigation.

Fine-tuning the pre-trained deep learning models on the V.H.R. dataset performed well for some specific models. To elaborate on the results, considering  $F_1$ -score as a viable metric, InceptionV3 gave the best performance at 0.7549 with a Precision Macro of 0.850155 and a Recall Macro of 0.79955. Xception architecture gave a good result of 0.754138 with a Macro Precision of 0.838788 and a Macro Recall of 0.701278. The ResNet architecture was the worst performing with Macro  $F_1$ -scores of 0.281844, 0.276691, and 0.248972 for layers 50, 101, and 152, respectively. MobileNet and MobileNetV2 achieved a Macro  $F_1$ -score of 0.707787 and 0.608429, respectively, which can be attributed to their lightweight architecture having the least number

of parameters at 3246289 and 2279761 only. DenseNet did not perform any better than ResNet with average DenseNet Macro  $F_1$ -score at 63.86% and that of ResNetV2 being 67.21%.

The  $F_1$ -score achieved can be further improved through optimization techniques. There are cases when V.H.R. images might not be available like in satellite images, and hence accuracy might drop. A separate model for a dataset of Low-Resolution images can be developed. Further, the reason for the high variance in the metrics can be investigated. Network architectures like ResNet giving a Macro  $F_1$ -score as low as 0.276691 is a cause of concern when its counterpart ResNet101V2 has a Macro  $F_1$ -score of 0.686412. Apart from that, a ratio of non-trainable parameters to the total parameters can be compared among each network architecture and investigated.

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**How to cite this article:** A. Kumar, K. Abhishek, A. Singh, P. Nerurkar, M. Chandane, S. Bhirud, D. Patel, and Y. Busnel (2020), Multi-label Classification of Remote Sensed Satellite Imagery, *Trans Emerging Tel Tech.*, 2020;2161-3915:e3988.